

# Compact Kähler threefolds with nef anticanonical line bundle

## Lecture 2

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# Singular Hermitian metrics on torsion-free sheaves on normal analytic varieties

Let  $\mathcal{E}$  be a torsion-free coherent sheaf on a normal analytic variety  $X$ . A *singular Hermitian metric*  $h$  on  $\mathcal{E}$  is a possibly singular Hermitian metric on the vector bundle  $\mathcal{E}|_{X_0}$ . Here  $\mathcal{E}|_{X_0}$  is the restriction of  $\mathcal{E}$  to  $X_0 := X_{\text{reg}} \cap X_{\mathcal{E}}$ , where  $X_{\text{reg}}$  is the non-singular locus of  $X$  and  $X_{\mathcal{E}}$  is the maximally locally free locus of  $\mathcal{E}$ . Note that  $X_0 \subset X$  is a Zariski open set with  $\text{codim}(X \setminus X_0) \geq 2$ . A singular metric on a vector bundle is locally a measurable map to the space of Hermitian matrix satisfying  $0 < \det h < \infty$  almost everywhere (compatible with the transition functions).

# Weak positivity

For a smooth  $(1, 1)$ -form  $\theta$  on  $X$  with local potential, we write as

$$\sqrt{-1}\Theta_h \geq \theta \otimes \text{id on } X$$

if the function  $\log |e|_{h^*} - f$  is psh for any local section  $e$  of  $\mathcal{E}^*$ , where  $f$  is a local potential of  $\theta$  (i.e.,  $\theta = \sqrt{-1}\partial\bar{\partial}f$ ) and  $h^*$  is the induced metric on the dual sheaf  $\mathcal{E}^* := \text{Hom}(\mathcal{E}, \mathcal{O}_X)$ . The plurisubharmonicity can be extended through a Zariski closed set of codimension  $\geq 2$ ; therefore it is sufficient to check that  $\log |e|_{h^*} - f$  is a psh function on an open set of  $X_0$  by  $\text{codim}(X \setminus X_0) \geq 2$ .

# Weak positivity

Let  $X$  be a Kähler space,  $\omega_X$  be a Kähler form on  $X$ , and  $\theta$  be a  $(1, 1)$ -form on  $X$  with local potential. A torsion-free sheaf  $\mathcal{E}$  on  $X$  is said to be  $\theta$ -weakly positively curved if there exist singular Hermitian metrics  $\{h_\varepsilon\}_{\varepsilon>0}$  on  $\mathcal{E}$  such that  $\sqrt{-1}\Theta_{h_\varepsilon} \geq (\theta - \varepsilon\omega_X) \otimes \text{id}$  on  $X$ . We simply say that  $\mathcal{E}$  is weakly positively curved in the case of  $\theta = 0$ .

# Strongly pseudo-effective vector bundle

Advantage of weakly positively curved sheaf:

Defined for non-necessarily locally free sheaf over non necessarily smooth space.

Disadvantage of weakly positively curved sheaf:

Difficult to study second Chern class (No definition in general!)

In general, the direct image is reflexive under flat morphism. To show the locally-freeness, one usually use Bando-Siu's result stating that a stable reflexive sheaf with vanishing first Chern class over a compact Kähler manifold is a projectively flat vector bundle if the second Chern class is trivial.

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# Nef vector bundle

Definition. (Hartshorne, '66)

Let  $X$  be a projective manifold and  $E$  a holomorphic vector bundle on  $X$ .  $E$  is called ample if and only if  $\mathcal{O}_{\mathbb{P}(E)}(1)$  is an ample line bundle.

Definition. (DPS, '94)

Let  $(X, \omega)$  be a compact Kähler manifold and  $E$  a holomorphic vector bundle on  $X$ .  $E$  is called nef if and only if  $\mathcal{O}_{\mathbb{P}(E)}(1)$  is a nef line bundle (i.e.  $\forall \varepsilon > 0$ , there exists a smooth metric  $(\mathcal{O}_{\mathbb{P}(E)}(1), h_\varepsilon)$  such that the Chern curvature representing  $c_1(\mathcal{O}_{\mathbb{P}(E)}(1))$  satisfies  $i\Theta(\mathcal{O}_{\mathbb{P}(E)}(1), h_\varepsilon) \geq -\varepsilon\pi^*\omega$  where  $\pi : \mathbb{P}(E) \rightarrow X$ ).



# Strongly psef vector bundle

## Pseudo-effective line bundle

A line bundle  $L$  over a compact manifold  $X$  is called psef if  $\exists T \geq 0 \in c_1(L)$  in the sense of currents.

## Definition. BDPP, '13

Let  $(X, \omega)$  be a compact Kähler manifold and  $E$  a holomorphic vector bundle on  $X$ . Then  $E$  is said to be strongly pseudo-effective (strongly psef for short) if the line bundle  $\mathcal{O}_{\mathbb{P}(E)}(1)$  is pseudo-effective on the projectivized bundle  $\mathbb{P}(E)$  of hyperplanes of  $E$ , i.e. if for every  $\varepsilon > 0$  there exists a singular metric  $h_\varepsilon$  with analytic singularities on  $\mathcal{O}_{\mathbb{P}(E)}(1)$  and a curvature current  $i\Theta(h_\varepsilon) \geq -\varepsilon\pi^*\omega$ , and if the projection  $\pi(\text{Sing}(h_\varepsilon))$  of the singular set of  $h_\varepsilon$  is not equal to  $X$ .

# Pseudo-effective vector bundle

## Equivalent definition, BDPP, '13

Let  $X$  be a projective manifold. A holomorphic vector bundle  $E$  on  $X$  is pseudo-effective if and only if for any given ample line bundle  $A$  on  $X$  and any positive integers  $m_0, p_0$ , the vector bundle

$$S^p((S^m E) \otimes A)$$

is generically generated (i.e. generated by its global sections on the complement  $X \setminus Z_{m,p}$  of some proper algebraic set  $Z_{m,p} \subset X$ ) for some [resp. every]  $m \geq m_0$  and  $p \geq p_0$ .

# Regularisation

Any  $T \geq 0$  in the sense of currents is locally limit of smooth positive forms.

## (Global) Regularisation, Demailly, '82

let  $T = \theta + i\partial\bar{\partial}\varphi$  be a closed  $(1,1)$ -current, where  $\theta$  is a smooth form. Suppose that a smooth  $(1,1)$ -form  $\gamma$  is given such that  $T \geq \gamma$ . Then there exists a decreasing sequence of smooth functions  $\varphi_k$  converging to  $\varphi$  such that, if we set  $T_k := \theta + i\partial\bar{\partial}\varphi_k$ , we have

- (1)  $T_k \rightarrow T$  weakly,
- (2)  $T_k \geq \gamma - C\lambda_k\omega$ , where  $C > 0$  is a constant depending on  $(X, \omega)$  only, and  $\lambda_k$  is a decreasing sequence of continuous functions such that  $\lambda_k(x) \rightarrow \nu(T, x)$  for all  $x \in X$ .

# Regularisation

## (Global) Regularisation, Demailly, '92

let  $T = \theta + i\partial\bar{\partial}\varphi$  be a closed  $(1,1)$ -current, where  $\theta$  is a smooth form. Suppose that a smooth  $(1,1)$ -form  $\gamma$  is given such that  $T \geq \gamma$ . Then there exists a decreasing sequence of quasi-psh functions  $\varphi_k$  converging to  $\varphi$  such that, if we set  $T_k := \theta + i\partial\bar{\partial}\varphi_k$ , we have

- (1)  $T_k \rightarrow T$  weakly,
- (2)  $\varphi_k$  is locally given by  $c \log \sum_i |g_i|^2 + O(1)$  where  $c \geq 0$ ,  $g_i$  are local holomorphic functions and  $O(1)$  is bounded. (We say that  $\varphi_k$  has analytic singularities.)
- (3)  $T_k \geq \gamma - \varepsilon_k \omega$  in the sense of currents, where  $\varepsilon_k$  is a decreasing sequence such that  $\varepsilon_k \rightarrow 0$ .

# Formal property

## Proposition,-22

$E$  strongly psef  $\Rightarrow \det(E)$  is psef.

$E$  strongly psef  $\iff$  for some  $m > 0$ ,  $S^m E$  strongly psef.

For surjective bundle morphism  $E \rightarrow Q$ ,  $E$  strongly psef  $\Rightarrow Q$  strongly psef

$E, F$  strongly psef  $\Rightarrow E \oplus F, E \otimes F$  strongly psef.

## Example

$E = \bigoplus L_i$  nef/strongly psef  $\iff \forall i, L_i$  nef/psef.

$\mathcal{O}_{\mathbb{P}(E)}(1)$  is big/psef  $\iff \exists i_0$  s.t.  $L_{i_0}$  is big/psef.

# Comparison

Finsler metric is a continuous nonnegative function  $F : E \rightarrow [0, \infty[$  defined on the vector bundle so that for each point  $x, v \in E_x$

$$F(\lambda v) = |\lambda| F(v) \text{ for all } \lambda \in \mathbb{C} \text{ (homogeneity).}$$

$$F(v) > 0 \text{ unless } v = 0 \text{ (positive definiteness).}$$

Hermitian metric is Finsler.

Metric on  $\mathcal{O}_{\mathbb{P}(E)}(1)$  is equivalent to Finsler metric on  $E^*$ .

$\mathcal{O}_{\mathbb{P}(E)}(1)$  is an ample line bundle if and only if  $E^*$  carries a smooth Finsler metric which is strictly plurisubharmonic on the total space  $E^* \setminus \{0\}$ .

# Comparison

## Griffiths Conjecture

Ampleness of  $E$  is equivalent to the existence of a Griffiths positive hermitian metric, thus to the existence of a hermitian strictly plurisubharmonic metric on  $E^*$ .

In other words, how to construct Griffiths positive Hermitian metric from the Finsler metric?

Similarly, a weakly positively curved vector bundle is strongly pseudoeffective.

Conversely, it is conjectured to be true as Griffiths type conjecture. Known in rank 1 case or over base of dimension 1 (Wu'22).

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# Background

If  $E$  nef, the Segre classes

$$s_i(E) := \pi_*(c_1(\mathcal{O}_{\mathbb{P}(E)}(1))^{r-1+i})$$

contain a closed positive current where  $E$  is of rank  $r$  and  $\pi : \mathbb{P}(E) \rightarrow X$  is the projection. (See e.g. DPS '94)  
Note that  $c_1(E) = s_1(E)$ ,  $s_2(E) = c_1(E)^2 - c_2(E)$ .

What happens if  $E$  is strongly pseudoeffective?

# Segre current

## Example

Consider  $X$  the blow up  $\mathbb{P}^2$  at a point with exceptional divisor  $E$ . The closed positive current associated to  $E$  denoted by  $[E]$  does not well define  $[E] \wedge [E]$  as closed positive current representing the correct cohomology class since  $\{[E]\}^2 = -1$ .

## Theorem, Demailly, agbook Chap. III.4

Let  $T_1, \dots, T_r$  be closed positive currents with analytic singularities such that  $\forall i_1 < \dots < i_m$ , the codimension of  $\cap_{i_j} \text{Sing}(T_{i_j})$  is at least  $m$ . Then  $T_1 \wedge \dots \wedge T_r$  is well-defined as positive current and represents the cohomology class  $\{T_1\} \wedge \dots \wedge \{T_r\}$ .

# Segre current

## Question

In the relative situation (i.e. a proper submersion  $\pi : X \rightarrow Y$  between compact Kähler manifolds of relative dimension  $r - 1$ ), how to define  $\pi_*(T_1 \wedge \cdots \wedge T_r)$  given weak codimension condition on  $\pi(\text{Sing}(T_i))$ ?

This question appears previously in LRRS'18 without estimate of Lelong number.

# Segre current

## Theorem,-22

In the relative situation, assume:

(1) (codimension condition)  $T$  is a closed positive  $(1, 1)$ -current in the cohomology class  $\{\alpha\} \in H^{1,1}(X, \mathbb{R})$  such that  $T$  has analytic singularities and is smooth on  $X \setminus \pi^{-1}(Z)$  with  $Z$  a closed analytic set of codimension at least  $k$ .

(2) (existence of local reference potential) for any  $y \in Y$ , there exist an open neighborhood  $U$  of  $y$  and a quasi-psh function  $\psi$  on  $X$  such that  $\alpha + i\partial\bar{\partial}\psi \geq 0$  in the sense of currents on  $\pi^{-1}(U)$  and  $\psi$  is smooth outside a closed analytic set of codimension at least  $k + r$ .

Then there exists a closed positive current in the cohomology class  $\pi_*\alpha^{r+k-1}$  with multiplicity estimate.

# Segre current

## Construction

Let  $\psi$  be a local reference potential. Then the Monge-Ampère operator  $(\alpha + i\partial\bar{\partial} \log(e^\varphi + \delta e^\psi))^{r-1+k}$  is well defined for every  $\delta > 0$  with the codimension condition. By weak compactness,

$$\pi_*(\alpha + i\partial\bar{\partial} \log(e^\varphi + \delta_\nu e^\psi))^{r-1+k}$$

which all belong to the cohomology class  $\pi_*\alpha^{r-1+k}$ , has a weak limit as  $\delta_\nu \rightarrow 0$  for some subsequence.

## Difficulty

- (1) Such  $\psi$  is not global positive (i.e.  $\alpha + i\partial\bar{\partial}\psi$  is not necessarily positive).
- (2) The limit is not necessarily unique a priori.



# Segre current

Note that (2) implies that the limit is positive since it is positive on the open set where  $\psi$  is defined.

## Proposition, ABW19 B19, -22

Let  $\varphi$  be a quasi-psh function with analytic singularities over on a (connected) complex  $n$ -dimensional manifold  $X$ , and  $u \in C^\infty(X)$ . Then for any exponent  $p$  ( $1 \leq p \leq n$ ), the asymptotic limit of Monge-Ampère operator  $\lim_{\delta \rightarrow 0} (i\partial\bar{\partial} \log(e^\varphi + \delta e^u))^p$  is always well defined as a current (but not necessarily positive, even when  $i\partial\bar{\partial}\varphi \geq 0$ , and the limit may depend on  $u$ ).

## Segre current

Denote by  $T_1, T_2$  the limit currents obtained with  $\psi_1$  and  $\psi_2$ . Assume that  $A'$  is the union of the singular loci of  $\psi_1$  and  $\psi_2$ . By assumption,  $\pi(A')$  is of codimension at least  $k + 1$  in  $X$ . Then  $T_1 - T_2$  is a normal  $(k, k)$ -current supported in  $\pi(A) \cup \pi(A')$  by the continuity of Bedford-Taylor operator.

The support theorem yields

$$T_1 - T_2 = \sum_{\nu} c_{\nu} [Z_{\nu}]$$

where  $Z_{\nu}$  are the codimension  $k$  irreducible components of  $\pi(A)$  and  $c_{\nu} \in \mathbb{R}$ .

Take a local cut-off function  $\theta$  and prove (to show that  $c_{\nu} = 0$ ) that

$$\lim_{\delta \rightarrow 0} \int_X \left( \pi_* T_{1,\delta}^{k+r-1} - \pi_* T_{2,\delta}^{k+r-1} \right) \wedge \theta \omega^{n-k} = 0.$$

# Segre current

A direct calculation shows that

$\int_X (\pi_* T_{1,\delta}^{k+r-1} - \pi_* T_{2,\delta}^{k+r-1}) \wedge \theta \omega^{n-k}$  is equal to

$$\int_{\mathbb{P}(E)} i\partial\bar{\partial}\theta \wedge \omega^{n-k} \wedge \left( \sum_{j=0}^{r+k-1} T_{1,\delta}^j \wedge T_{2,\delta}^{r+k-1-j} \right) \log \left( \frac{e^\varphi + \delta e^{\psi_1}}{e^\varphi + \delta e^{\psi_2}} \right).$$

Define

$$F_\delta := \log \left( \frac{e^\varphi + \delta e^{\psi_1}}{e^\varphi + \delta e^{\psi_2}} \right),$$

which is a uniformly bounded function on  $V$  such that  $\bar{V}$  is outside of the image of the singular locus of  $\psi_1, \psi_2$  under  $\pi$ . Note also that the bound is independent of  $\delta$ .



# Segre current

Define  $Z_\eta := \{z \in V, d(z, \pi(A)) \leq \eta\}$  with respect to the Kähler metric  $\omega$ . The volume of  $Z_\eta$  with respect to  $\omega$  tends to 0 as  $\eta \rightarrow 0$ . Separate the estimate on  $Z_\eta$  and  $X \setminus Z_\eta$ . To conclude, for the first one, use Fubini theorem and that the restriction of  $\alpha$  on each fiber is constant.

For the second one, use that  $F_\delta$  tends to 0 almost everywhere as  $\delta \rightarrow 0$ . The convergence is locally uniform outside of the pole set  $A$  of  $\varphi$ .

# Segre current

## Corollary, -22

Let  $E$  be a strongly psef vector bundle of rank  $r$  over a compact Kähler manifold  $(X, \omega)$ . Let  $(\mathcal{O}_{\mathbb{P}(E)}(1), h_\varepsilon)$  be singular metric with analytic singularities such that

$$i\Theta(\mathcal{O}_{\mathbb{P}(E)}(1), h_\varepsilon) \geq -\varepsilon\pi^*\omega$$

and the codimension of  $\pi(\text{Sing}(h_\varepsilon))$  is at least  $k$  in  $X$ . Then there exists a  $(k, k)$ -positive current in the class

$$\pi_*(c_1(\mathcal{O}_{\mathbb{P}(E)}(1)) + \varepsilon\pi^*\{\omega\})^{r+k-1}.$$

# Numerically flat vector bundle

## Theorem, -22

Let  $E$  be a strongly psef vector bundle over a compact Kähler manifold  $(X, \omega)$  with  $c_1(E) = 0$ . Then  $E$  is a nef vector bundle.

Idea of proof:  $\exists T_\varepsilon \geq -\varepsilon\pi^*\omega \in c_1(\mathcal{O}_{\mathbb{P}(E)}(1))$  in the sense of currents with analytic singularities.

$\pi_*(T_\varepsilon + \varepsilon\pi^*\omega)^r \in c_1(E) + r\varepsilon\{\omega\} \geq 0$  where  $r$  is rank of  $E$ .

$c_1(E) = 0 \Rightarrow \pi_*(T_\varepsilon + \varepsilon\pi^*\omega)^r \rightarrow 0$ .

Lelong number estimate  $\Rightarrow$  the Lelong number of  $T_\varepsilon$  is small. We conclude by regularisation.

# Application

## Proposition, -22

An irreducible symplectic, or Calabi-Yau manifold does not have strongly psef tangent bundle or cotangent bundle.

In the singular and projective setting, a stronger result is proven in Theorem 1.6 of [Höring-Peternell'19] and Corollary 6.5 [Druel'18] for threefolds. (They prove that in this case  $\mathcal{O}_{\mathbb{P}(E)}(1)$  is not a psef line bundle where  $E$  is the tangent bundle or the cotangent bundle.)

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# $\mathbb{Q}$ -conic bundle

## $\mathbb{Q}$ -conic bundle (Mori-Prokhorov 08)

Let  $X$  and  $S$  be normal analytic varieties. A fibration  $\varphi : X \rightarrow S$  is called a  *$\mathbb{Q}$ -conic bundle* if it satisfies following conditions:

$X$  has terminal singularities;

$\varphi : X \rightarrow S$  is equi-dimensional and of relative dimension 1;

$-K_X$  is  $\varphi$ -ample.

## Discriminant divisor (Mori-Prokhorov 08)

The *discriminant divisor*  $\Delta$  is defined by the union of divisorial components of the non-smooth locus  $\{s \in S \mid \varphi \text{ is not a smooth fibration at } s\}$ .

# classification of 3-dim $\mathbb{Q}$ -conic bundle

## Mori-Prokhorov 08

Let  $\varphi : X \rightarrow S$  be a 3-dimensional  $\mathbb{Q}$ -conic bundle and  $\Delta \subset S$  be the discriminant divisor. Then  $s \notin \Delta$  if and only if  $\varphi : X \rightarrow S$  is toroidal at  $s$ .

## Example (A global $\mathbb{Q}$ -conic bundle)

For a Kummer surface  $S := A/\mu_2$  with a torus  $A$  of dimension 2, we consider

$$X' := (\mathbb{P}^1 \times A)/\mu_2 \rightarrow S = A/\mu_2,$$

where  $\mu_2$  acts on  $\mathbb{P}^1 \times A$  by  $-1 \cdot (t, z_1, z_2) = (-t, -z_1, -z_2)$ . Both  $S$  and  $X'$  are simply connected and  $\varphi : X' \rightarrow S$  is a  $\mathbb{Q}$ -conic bundle such that  $-K_{X'}$  is nef. However  $X'$  is not outcome of MMP for some smooth  $X$  with  $-K_X$  nef (cf. Peternell-Serrano).



# Consequence

## Corollary, Matsumura-Wu 23

We consider the MF space  $\varphi : X' = X_N \rightarrow S$  in 3-dim Kähler MMP. Then, we have:

- (1) The Bott-Chern cohomology class  $-4c_1(K_S) - c_1(\Delta)$  is pseudo-effective, where  $\Delta$  is the discriminant divisor of the MF space  $\varphi : X \rightarrow S$  (which is a  $\mathbb{Q}$ -conic bundle).
- (2) The relation  $\Delta = 0$  and  $c_1(K_S) = 0$  holds; in particular,  $\varphi : X' \rightarrow S$  is toroidal over  $S$ . Furthermore, when  $S$  are smooth, the variety  $X$  is automatically smooth and  $\varphi : X' \rightarrow S$  is a locally trivial  $\mathbb{P}^1$ -bundle.



# End of proof

Let  $\varphi : X' \rightarrow S$  be the MF space.

- (1) Show that  $\varphi_*(-pK_{X'})$  is weakly positively curved with trivial first Chern class for  $1 \ll p$  by positivity of direct image.
- (2) Show that  $\varphi_*(-pK_{X'})$  is numerical flat orbifold vector bundle (by -23).
- (3) By Campana04,  $S$  is either quotient of torus or normal K3. Show that  $\varphi_*(pB)$  is trivial over some quasi-étale cover. Deduce a contradiction by intersection numbers if  $S$  is not smooth.

# End of proof

In general, the positivity of direct image is insensible to singularity. It is conjectured that the fundamental group of the regular part of klt compact Kähler Calabi-Yau space is infinite if and only if it contains a torus factor in the singular Beauville-Bogomolov decomposition theorem. If this holds, Step 3 should be able to generalise to high dimensional case.

**Thank you for your attention!**